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	FORM PTO-1: (REV 12-29-9		IENT OF COMMERCE PATENT AND TRADEMARK OFFICE	RNBY'S DOCKET NUMBER							
		ANSMITTAL LETTE	5367-US								
		DESIGNATED/ELEC	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)								
		CONCERNING A FIL									
		ATIONAL APPLICATION NO. US99/00475	INTERNATIONAL FILING DATE 09 January 1999	PRIORITY DATE CLAIMED  09 January 1998							
	<del></del>	OF INVENTION	os canada, 1999	O) Dandary 1990							
	SYNTHESIS OF PHENSTATIN AND PRODRUGS THEREOF										
	APPLICANT(S) FOR DO/EO/US										
		PETTIT et al									
	1. X		ates Designated/Elected Office (DO/EO/US) the follo	wing items and other information:							
	35 U.S.C. 371.										
This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39											
	4. X	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.									
	5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
		a. is transmitted herewith (required only if not transmitted by the International Bureau).									
		b. has been transmitted by the International Bureau.									
	۵ 🗖	c. X is not required, as the application was filed in the United States Receiving Office (RO/US).									
ũ	6 7	A translation of the International Application into English (35 U.S.C. 371(c)(2)).									
Ţ	اسا ۰۰	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
the first that the first that the fall that		a. are transmitted herewith (required only if not transmitted by the International Bureau).									
L		b. have been transmitted by the International Bureau.									
		c. have not been made; however, the time limit for making such amendments has NOT expired.									
U		d. have not been made and will not be made.									
III. (IIII)		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
	9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
	10.	À translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
	Ttoma 1										
			ent(s) or information included:								
24	11.		tement under 37 CFR 1.97 and 1.98.								
	12.	An assignment document for r	ecording. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.							
	13.	A FIRST preliminary amendm	ent.								
		A SECOND or SUBSEQUENT preliminary amendment.									
		' · · · · · · · · · · · · · · · · · · ·									
	14.	A substitute specification.									
	15.	5. A change of power of attorney and/or address letter.									
	16. X	] 6	Copies of Verified Statement Clai Endependent Inventor as originall 60/070,878 filed January 9, 1998 Certificate of Express Mail	ming Small Entity Status — y filed with US Provisional							
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09/58295	PCT/IIS99/00475		5367-US	CEI NUMBER					
17. X The following fees are submi			ALCULATIONS	PTO USE ONLY					
BASIC NATIONAL FEE (37 CFR 1.4	492 (a) (1) - (5) ) :								
Neither international preliminary ex	amination fee (37 CFR 1.482)	•							
nor international search fee (37 CF) and International Search Report not	R 1.445(a)(2)) paid to USPTO	\$970.00							
International preliminary examination	• •								
USPTO but International Search Re	port prepared by the EPO or JPO								
International preliminary examination international search fee (37 CFR 1.									
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$670.00									
International preliminary examinati and all claims satisfied provisions of									
	ROPRIATE BASIC FEE AN		<b>\$</b> 96.00						
Surcharge of \$130.00 for furnishing the	oath or declaration later than 2	0 30							
m nths from the earliest claimed priori	ty date (37 CFR 1.492(e)).	·	5						
CLAIMS NUMBER FILED		RATE							
	0 = 0	X \$18.00	\$ 0						
	3 = 2	X \$78.00	<b>\$</b> 156.00						
MULTIPLE DEPENDENT CLAIM(S) (if		+ \$260.00	\$						
<b>L</b>	AL OF ABOVE CALCULA		\$252,00						
Reduction of 1/2 for filing by small ent must also by filed (Note 37 CFR 1.9, 1.	ity, if applicable. A Small Entity Sta 27, 1.28).	tement	<b>\$</b> 126 <b>.</b> 00	· -					
	SUBT	TOTAL =	<b>\$</b> 126.00						
Processing fee of \$130.00 for furnishin months from the earliest claimed priori	g the English translation later than ty date (37 CFR 1.492(f)).	20 30 +	\$						
	TOTAL NATION	AL FEE =	\$ 126.00						
Fee for recording the enclosed assignm accompanied by an appropriate cover s	ent (37 CFR 1.21(h)). The assignment	ent must be	\$						
	TOTAL FEES ENC		<b>\$</b> 126.00						
			Amount to be	\$					
			refunded: charged:	s					
	104.00								
a. X A check in the amount of \$	126.00 to cover the above	ve fees is enclosed	1.						
b. Please charge my Deposit Acc A duplicate copy of this sheet	count No in the is enclosed.	amount of \$	to cov	er the above fees.					
c. The Commissioner is hereby a	authorized to charge any additional funt No. 13-5100 A duplication	ees which may be	e required, or credit	any					
Overpayment to Deposit Accord	. A dupited	oopy or ans sne	col is cheiosed.						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR									
1.137(a) or (b)) must be filed and gi	canted to restore the application to	pending status.							
SEND ALL CORRESPONDENCE TO:		P1	2 and RMA	back					
Richard R. Mybeck	SIGNATU		0						
8010 East Morgan Trail, St Scottsdale, Arizona 85258									
480.483.1285	d R. Mybeck								
£7									
		NÁME 17 002	<u>.</u>						
( )		17,886	<del></del>	_ <del></del>					
		17,886	ATION NUMBER						



APPLICANT:

GEORGE R. PETTIT et al.

DOCKET NO. 5367

SERIAL NO.:

FOR:

SYNTHESIS OF PHENSTATIN AND PRODRUGS THEREOF

## VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled SYNTHESIS OF PHENSTATIN AND PRODRUGS THEREOF described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I acknowledge the duty to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issuing thereon to which this verified statement is directed.

Date	//7/ <del>9</del> 8	George of Petit	
		GEORGE R. PETTIT	

APPLICANT:

GEORGE R. PETTIT et al.

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Date /

BRIAN TOKI



## 424 Rec'd PCT 0 7 JUL 2000 09 / 582952

Date: July 7, 2000

Attorney Docket No. 5367-US

CERTIFICATION UNDER 37 C.F.R. §1.10

EL535208336US
"Express Mail" number

July 7, 2000
Date of Deposit

Richard R. Mybeck

Ros No. 17886

Reg. No. 17,886